



7-18-05

221654

Express Mail No. EV 456 920 178 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:	Feder <i>et al.</i>	Confirmation No.:	8853
Serial No.:	09/901,956	Art Unit:	1654
Filed:	July 9, 2001	Examiner:	Gupta, Anish
For:	PEPTIDES AND PEPTIDE ANALOGUES DESIGNED FROM HFE PROTEIN AND THEIR USES IN THE TREATMENT OF IRON OVERLOAD DISEASES	Attorney Docket No:	8907-091-999

TRANSMITTAL

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

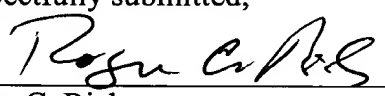
Enclosed are the following documents in connection with the above-identified application:

- 1) Revocation and Power of Attorney executed by assignee California Institute of Technology; and
- 2) Assignment documents from inventors Pamela J. Bjorkman, Melanie Bennett and Jose Lebron to California Institute of Technology.

No fee is believed to be due. However, the Commissioner is authorized to charge any necessary fee to Jones Day account no. 50-3013 (043018-999091).

Respectfully submitted,

Date: July 15, 2005


Roger C. Rich 54,398
(Reg. No.)
For: Nikolaos C. George (Reg. No. 39,201)

JONES DAY
222 East 41st Street
New York, New York 10017
(212) 326-3939



Express Mail No. EV

US

POWER OF ATTORNEY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Feder *et al.*

Confirmation No.: 8853

Serial No.: 09/901,956

Art Unit: 1654

Filed: July 9, 2001

Examiner: Gupta, Anish

For: PEPTIDES AND PEPTIDE ANALOGUES
DESIGNED FROM HFE PROTEIN AND
THEIR USES IN THE TREATMENT OF IRON
OVERLOAD DISEASES

Attorney Docket No: 8907-091-999

REVOCATION AND POWER OF ATTORNEY OR AUTHORIZATION OF AGENTCommissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

California Institute of Technology, Assignee, hereby revokes any and all previous powers and appoints:

☒ Practitioners at Customer Number 20583

as its attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please direct all correspondence address for the above-identified application to:

☒ The above mentioned Customer Number.☒ Firm or Individual Name:Address: Jones Day, 222 East 41st Street, New York, New York 10017

Telephone: (212) 326-3939


I am the:

☐
☒

Applicant/Inventor

Assignee of record of one-half interest. See 37 CFR 3.71.

(Statement under 37 CFR 3.73(b) is applicable)

SIGNATURE of Applicant or Assignee of Record			
Date:	NOV 19 2004	Signature:	
		Typed Name:	Adam Cochran
		Position/Title:	Intellectual Property Counsel Office of the General Counsel
		Assignee:	California Institute of Technology

Statement Under 37 C.F.R. 3.73(b)

California Institute of Technology, states that it is:

- ☐ the assignee of the entire right, title, and interest; or
☒ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is 50 %

in the patent application/patent identified above by virtue of either:

- ☒ An assignment from the inventor(s) of the patent application/patent identified above, a copy which is attached hereto.

OR

- ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: To:
The document was recorded in the United States Patent and Trademark Office on at
Reel , Frame , or for which a copy thereof is attached.

2. From: To:
The document was recorded in the United States Patent and Trademark Office on
at Reel , Frame , or for which a copy thereof is attached.

3. From: To:
The document was recorded in the United States Patent and Trademark Office on
at Reel , Frame , or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.
[Note: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

ASSIGNEE:

California Institute of Technology

Date:

NOV 19 2004

Signature:



Typed Name:

Adam Cochran
Intellectual Property Counsel
Office of the General Counsel

Position/Title:

Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required.

☐ Total of 1 form is submitted.



7-1805

D

Express Mail No. EL 236 252 236 US

RECORDATION FORM COVER SHEET
PATENTS ONLYAttorney Docket Number
8907-076-999TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS
Box Assignment
Washington, DC 20231

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Pamela J. Bjorkman, Melanie Bennett and Jose Lebron

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. * Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: March 19, 1999

2. Name and address of receiving party(ies):

Name: California Institute of TechnologyAddress: 1200 E. California Boulevard
Pasadena, CA 91125

Country (if other than USA): _____

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 09/216,077

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

PENNIE & EDMONDS LLP
1155 Avenue of the Americas
New York, NY 10036

6. Number of applications and patents involved: _____

7. Total fee (37 CFR 3.41):\$ 40
Please charge to the deposit account listed in Section 8.8. Deposit account number:
16-1150

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*by Robert Stahl
Reg. No. 44,350Brian M. Poissant28.462Brian M. PoissantApril 1, 1999

Name of Person Signing Reg. No.

Signature

Date

Total number of pages including cover sheet:

4

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignment
Washington, D.C. 20231

ASSIGNMENT

WHEREAS, WE, PAMELA J. BJORKMAN, residing at 1630 Casa Grande Street, Pasadena, California 91104; MELANIE BENNETT, residing at 545 S. Euclid Avenue, #2, Pasadena, California 91101; and JOSE LEBRON, residing at 294 S. Wilson #6, Pasadena, California 91106, ASSIGNORS, citizens of the United States, are the inventors of the invention in **PEPTIDES AND PEPTIDE ANALOGUES DESIGNED FROM HFE PROTEIN AND THEIR USES IN THE TREATMENT OF IRON OVERLOAD DISEASES** for which we have executed an application for a Patent of the United States

☐ which is executed on ☐ even date herewith or ☐ _____

☒ which is identified by Pennie & Edmonds LLP docket no. 8907-076-999

☒ which was filed on December 18, 1998, Application No. 09/216,077

and WHEREAS, CALIFORNIA INSTITUTE OF TECHNOLOGY, having a principal place of business at 1200 E. California Boulevard, Pasadena, California 91125, ASSIGNEE is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.

Date 3/19/99, 1999 Pamela J. Bjorkman L.S.
Pamela J. Bjorkman

State of _____

County of _____

} SS.:

Please see attached certificate

On this _____ day of _____, 1999, before me, a Notary Public in and for the State and County aforesaid, personally appeared Pamela J. Bjorkman, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.

Notary Public.

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of Los Angeles

On March 19, 1999 before me, Lisa Marie Telles, Notary Public

personally appeared Pamela J. Bjorkman, Melanie Bennett, & Jose Lebron

☐ personally known to me — OR — ☒ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

Lisa Marie Telles
Signature of Notary Public

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document: Assignment

Document Date: 3/19/99 Number of Pages: 1

Signer(s) Other Than Named Above: none

Capacity(ies) Claimed by Signer(s)

Signer's Name: _____

- ☐ Individual
- ☐ Corporate Officer
- Title(s): _____
- ☐ Partner — ☐ Limited ☐ General
- ☐ Attorney-in-Fact
- ☐ Trustee
- ☐ Guardian or Conservator
- ☐ Other: _____

RIGHT THUMBPRINT
OF SIGNER
Top of thumb here

Signer Is Representing:

Signer's Name: _____

- ☐ Individual
- ☐ Corporate Officer
- Title(s): _____
- ☐ Partner — ☐ Limited ☐ General
- ☐ Attorney-in-Fact
- ☐ Trustee
- ☐ Guardian or Conservator
- ☐ Other: _____

RIGHT THUMBPRINT
OF SIGNER
Top of thumb here

Signer Is Representing:

Date March 19, 1999 Melanie Bennett L.S.
Melanie Bennett

State of _____ }
County of _____ } SS.:

Please see attached certificate

On this _____ day of _____, 1999, before me, a Notary Public in and for the State and County aforesaid, personally appeared Melanie Bennett to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.

Notary Public.

Date MARCH 19, 1999 J-Lebron L.S.
Jose Lebron

State of _____ }
County of _____ } SS.:

please see attached certificate

On this _____ day of _____, 1999, before me, a Notary Public in and for the State and County aforesaid, personally appeared Jose Lebron, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.

Notary Public.